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SECTOR #3
PATENT
450100-03330

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Hiroichi ISHIKAWA
Serial No. : 09/897,571
Filed : July 2, 2001
For : OPTICAL MULTILAYER STRUCTURE, OPTICAL
SWITCHING DEVICE, AND IMAGE DISPLAY
Art Unit : 2673

745 Fifth Avenue
New York, New York 10151
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I hereby certify that this correspondence is being
deposited with the United States Postal Service as
first class mail in an envelope addressed to:
Assistant Commissioner for Patents
Washington, D.C. 20231, on October 29, 2001

Gordon Kessler, Reg. No. 38,511

Name of Applicant, Assignee or
Registered Representative

Gordon Kessler
Signature
October 29, 2001
Date of Signature

COMMUNICATION

Assistant Commissioner for Patents
Attn: Box Missing Parts
Washington, D.C. 20231

Sir:

Enclosed herewith is a copy of the "Notice to File
Missing Parts of Application" (form PTO-1533) dated August 28,
2001 on the above application, a Declaration duly signed by the
inventor, and a check in the amount of the required \$130.00
surcharge. By separate cover, we are filing the Assignment and
the \$40.00 fee for recording same.

PATENT
450100-03330

Please charge any additional fees incurred or credit
any overpayment to Deposit Account No. 50-0320.

In view of the foregoing, it is believed that the
identified application is now complete. Early examination of the
application on its merits is solicited.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicant

By: 

Gordon Kessler
Reg. No. 38,511
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Enclosure

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F

Assistant Commissioner for Patents
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#3



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/897,571	01/29/2002 ORIGINAL FILED	Hiroichi Ishikawa	450100-03330

CONFIRMATION NO. 9687

FORMALITIES LETTER



OC00000006487749

WILLIAM S. Frommer, Esq.
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New York, NY 10151

Date Mailed: 08/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The oath or declaration is unsigned.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Christy Young
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE